

Transformative publishing Agreements within the context of `Plan S`

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Plan S in a nutshell

- Is an initiative for Open Access publishing
- Supported by cOAlition S, an international consortium of research funders.
- Scientific publications that result from research funded by public grants must be published in compliant OA journals or platforms.
- Start date: 1 Jan 2020



Plan S compliance - options

- Publishing in fully OA journals
- Depositing scholarly articles in Open Access repositories without embargo
- Publishing in hybrid journals under a transformative OA agreement

Plan S

Making full and
immediate Open
Access a reality

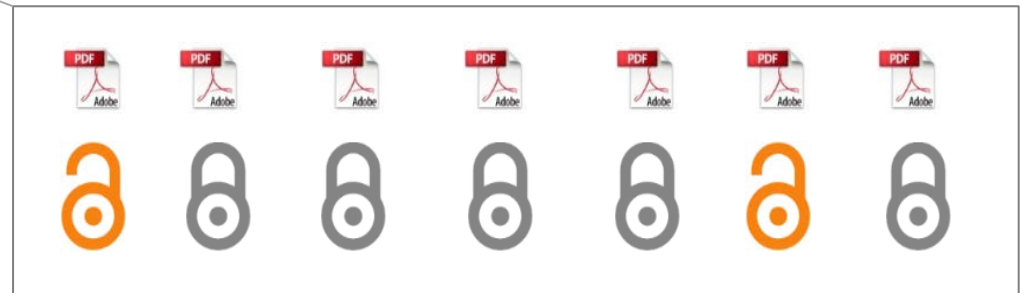
A big part of Plan S compliant articles will be articles in subscription journals that are covered by transformative agreements

What are transformative agreements?

Hybrid Journals

Closed Access
No free reading

- Subscription journals with an Open Access option for individual articles
- Authors decide if they want to make their articles OA
- Most subscription journals offer an OA option (Springer Open Choice, Wiley Online Open ...)
- Mixed Content: Closed Access and OA articles in one journal



Transformative agreement in the context of Plan S

- Is an OA deal between institutions/funders and publishers
- Goal: full OA transition (“journal flipping”)
- Move from subscription payments towards pay-as-you publish
- As shown by Ralf Schimmer et al. (2015 whitepaper) – “there is enough money in the system”*

*Schimmer, R., Geschuhn, K. K., & Vogler, A. (2015). Disrupting the subscription journals' business model for the necessary large-scale transformation to open access. doi:10.17617/1.3.

Although there is enough money in the system on a global level, there are obstacles on a national and institutional level -

One of these obstacles - 'double dipping'

Univ. 1

Subscription fees

+

APCs

Univ. 2

Subscription fees

+

APCs

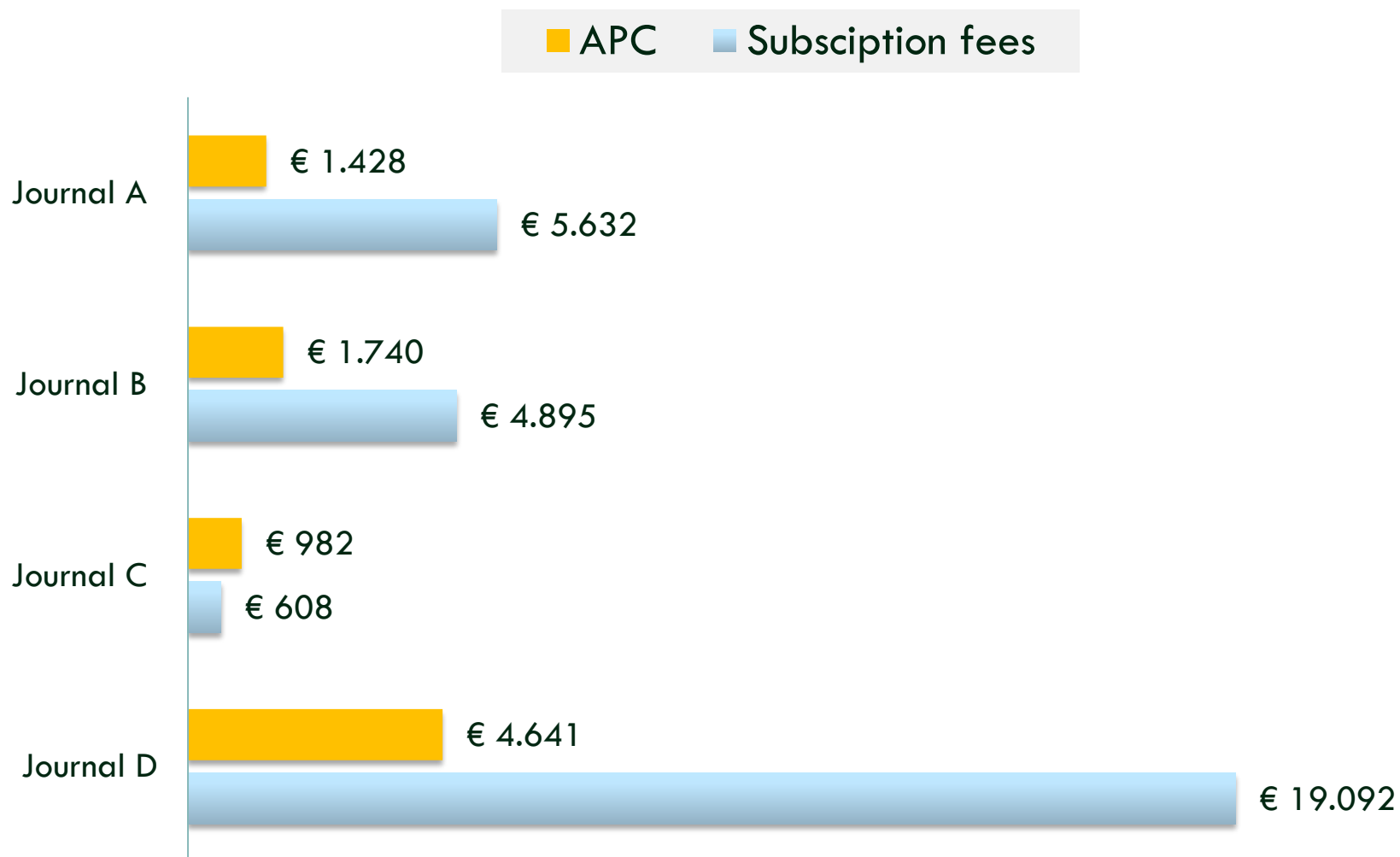
Univ. 3

Subscription fees

Hybrid journal with
double dipping

APC ... Article Processing Charge

Double Dipping cont.'d - Subscription Fees and APCs



Why did Austria start negotiating OA agreements in 2014?

- To avoid “double dipping”
- To support our researchers – ex.: FWF OA mandate
 - 1st transformative agreement in the world with IOP
 - Requirement in Austria: must be **cost neutral** on a national level
 - Key players in Austria



FWF

AT 2 OA
H R S M

Open Access Agreements at Univie



IOP Publishing



OXFORD
UNIVERSITY PRESS

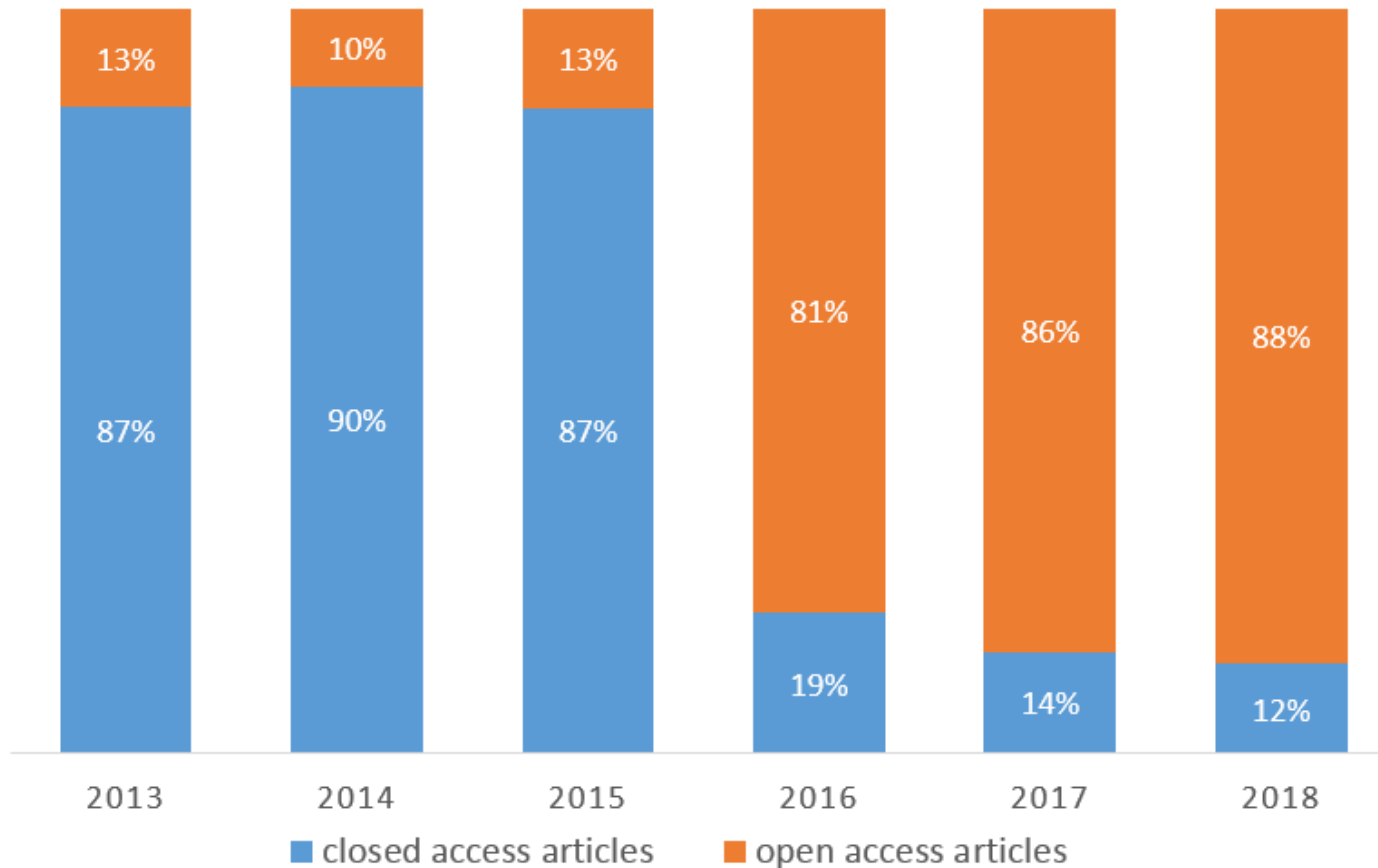


WILEY




Case study: Springer Compact Deal Austria

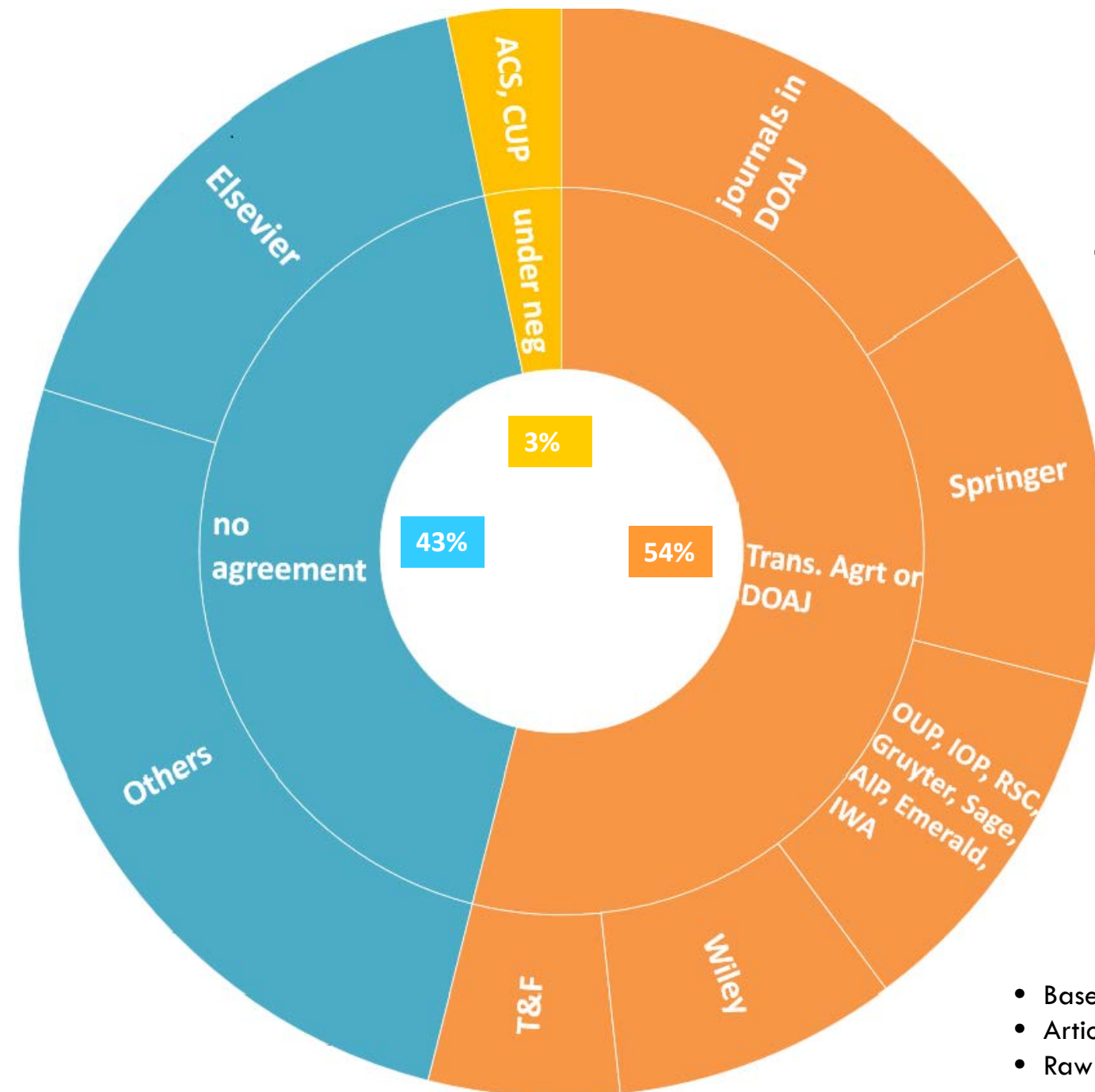
Change from Closed Access to Open Access since 2016 across the consortium



Plan S preparedness at the University of Vienna in 2019

- Current OA publishing agreements applied to 2016 publishing output
- Analysis of actual 2019 publishing outcomes will be carried out in 2020

- Based on 2016 (WoS, Scopus).
- Articles and Review papers
- Raw data provided by 



Which articles are affected under Plan S?

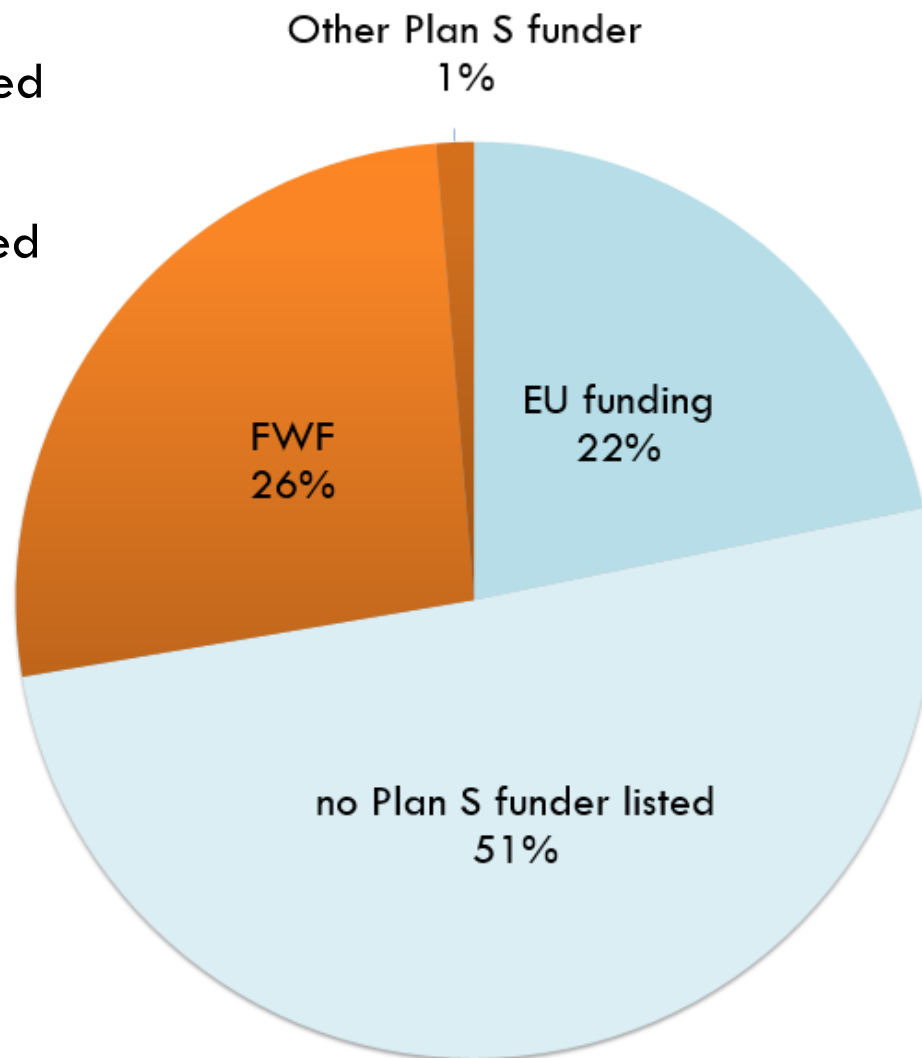
- Papers resulting from research projects fully or partially funded by FWF or other Plan S signatories
- implementation period - from January 1, 2020, funders will only apply this to new grants or new calls for grants

Plan S

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Access a reality

Articles affected at University of Vienna

- Approx. 26% of articles published mentioned FWF as funder
- Further 1% of other Plan S funded articles



- Based on 2016 (WoS, Scopus).
- Univie Corresponding Authors
- Raw data provided by [AT2OA](#)

How can we help?

Open Access Office at the University of Vienna

- Funding for publishing in fully OA journals
- OA publishing agreements
- Institutional repository
- Support & Advice

<https://openaccess.univie.ac.at/>
openaccess@univie.ac.at



Thank you!



Questions?