Transformative publishing Agreements within the context of `Plan S`
Plan S in a nutshell

- Is an initiative for Open Access publishing
- Supported by cOAlition S, an international consortium of research funders.
- Scientific publications that result from research funded by public grants must be published in compliant OA journals or platforms.
- Start date: 1 Jan 2020

Plan S
Making full and immediate Open Access a reality

https://www.coalition-s.org
Plan S compliance - options

- Publishing in fully OA journals
- Depositing scholarly articles in Open Access repositories without embargo
- Publishing in hybrid journals under a transformative OA agreement
A big part of Plan S compliant articles will be articles in subscription journals that are covered by transformative agreements.
What are transformative agreements?
Hybrid Journals

- Subscription journals with an Open Access option for individual articles
- Authors decide if they want to make their articles OA
- Most subscription journals offer an OA option (Springer Open Choice, Wiley Online Open …)
- Mixed Content: Closed Access and OA articles in one journal
Transformative agreement in the context of Plan S

- Is an OA deal between institutions/funders and publishers
- Goal: full OA transition ("journal flipping")
- Move from subscription payments towards pay-as-you publish
- As shown by Ralf Schimmer et al. (2015 whitepaper) – “there is enough money in the system”*

*Schimmer, R., Geschuhn, K. K., & Vogler, A. (2015). Disrupting the subscription journals’ business model for the necessary large-scale transformation to open access. doi:10.17617/1.3.
Although there is enough money in the system on a global level, there are obstacles on a national and institutional level -
One of these obstacles - `double dipping´

<table>
<thead>
<tr>
<th>Univ. 1</th>
<th>Subscription fees</th>
<th>+</th>
<th>APCs</th>
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<tbody>
<tr>
<td>Univ. 2</td>
<td>Subscription fees</td>
<td>+</td>
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<tr>
<td>Univ. 3</td>
<td>Subscription fees</td>
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Hybrid journal with double dipping

APC ... Article Processing Charge
Double Dipping cont.’d - Subscription Fees and APCs

- **Journal A**
  - Subscription Fees: €5,632
  - APC: €1,428

- **Journal B**
  - Subscription Fees: €4,895
  - APC: €1,740

- **Journal C**
  - Subscription Fees: €608
  - APC: €982

- **Journal D**
  - Subscription Fees: €19,092
  - APC: €4,641
Why did Austria start negotiating OA agreements in 2014?

- To avoid “double dipping”
- To support our researchers — ex.: FWF OA mandate
  - 1st transformative agreement in the world with IOP
  - Requirement in Austria: must be cost neutral on a national level
  - Key players in Austria
Open Access Agreements at Univie
Case study: Springer Compact Deal Austria

Change from Closed Access to Open Access since 2016 across the consortium

<table>
<thead>
<tr>
<th>Year</th>
<th>Closed Access</th>
<th>Open Access</th>
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<tbody>
<tr>
<td>2013</td>
<td>87%</td>
<td>13%</td>
</tr>
<tr>
<td>2014</td>
<td>90%</td>
<td>10%</td>
</tr>
<tr>
<td>2015</td>
<td>87%</td>
<td>13%</td>
</tr>
<tr>
<td>2016</td>
<td>19%</td>
<td>81%</td>
</tr>
<tr>
<td>2017</td>
<td>14%</td>
<td>86%</td>
</tr>
<tr>
<td>2018</td>
<td>12%</td>
<td>88%</td>
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Plan S preparedness at the University of Vienna in 2019

- Current OA publishing agreements applied to 2016 publishing output
- Analysis of actual 2019 publishing outcomes will be carried out in 2020

Based on 2016 (WoS, Scopus).
Articles and Review papers
Raw data provided by AT2OA
Which articles are affected under Plan S?

- Papers resulting from research projects fully or partially funded by FWF or other Plan S signatories
- Implementation period - from January 1, 2020, funders will only apply this to new grants or new calls for grants

Plan S
Making full and immediate Open Access a reality

https://www.coalition-s.org
Articles affected at University of Vienna

- Approx. 26% of articles published mentioned FWF as funder
- Further 1% of other Plan S funded articles

- Based on 2016 (WoS, Scopus).
- Univie Corresponding Authors
- Raw data provided by AI2OA
How can we help?

Open Access Office at the University of Vienna

- Funding for publishing in fully OA journals
- OA publishing agreements
- Institutional repository
- Support & Advice

https://openaccess.univie.ac.at/
openaccess@univie.ac.at
Thank you!

Questions?